COPY OF PAPERS OFFIGHWALLY FILED 2823

PE POCKET: CU 2636

PATENT

FEB 0 5 2007 💆

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application: Sang Ick LEE et al.

Serial No.: 09/994,284

Filed: November 26, 2001

For: METHOD OF FORMING METAL GATE IN 3
SEMICONDUCTOR DEVICE

GRP ART UNIT

Ex.

PECKALISATION TO THE PERSON OF THE PERSON OF

Certification under 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Commissioner for Patents, Washington, D.C. 20231 on December (2, 2001)

Vangelis Economou

Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION

Sir

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

December 12, 2001

Vangelis Economou -Reg. No. 32,341

Ladas & Parry

224 South Michigan Avenue

Chicago Illinois 60604

Tel. No. (312) 427-1300

idsb4oa

BOCKET: CU 2636

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRACE Application Sang Ick LEE et al.

Serial No 09:994,284

GRP ART UNIT

Filed: November 26, 2001

Ex.

For: METHOD OF FORMING METAL GATE IN]

SEMICONDUCTOR DEVICE

Certification under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Commissioner of Patents. Washington, D.C. 20231 on December 12, 2001.

Vangelis Economou

THE COMMISSIONER FOR PATENTS Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicants submit herewith copies of seven U.S. Patents and two Japanese patent applications of which the applicants are aware, and which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herewith are listed on PTO-Form 1449 (modified) attached hereto. A copy of each reference listed patent is being furnished.

Additional comments, if any, on the relevance of each reference listed are provided as follows:

Full translations of the Japanese Patent applications, No. 2000-12538 and 2001-36083 are not readily available, but English language Abstracts of these applications are attached to each to indicate the relevance, if any.

The Statement is made on the basis of the information

supplied by the inventor(s);

Supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)); or in the attorney's file.

Respectfully submitted,

2//1/0/

Signature of Attorney

Vangelis Economou -Reg. No.-32,341

Ladas & Parry

224 South Michigan Avenue

Chicago Illinois 60604

Tel. No. (312) 427-1300